



# Predicting Reductions in COPD Exacerbations from FEV<sub>1</sub>

A model-based meta-analysis of literature data from controlled randomized clinical trials

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## Introduction

In Chronic Obstructive Pulmonary Disease (COPD), shorter duration Phase 2 studies assess forced expiratory volume in one second (FEV<sub>1</sub>) whereas Phase 3 chronic maintenance studies assess the registrable endpoint: prevention of COPD exacerbations.

The main objective was to describe the relationship between FEV<sub>1</sub> and annual rate of moderate-severe[1] exacerbations (ER) utilizing summary-level, literature data.

## Conclusions

- The investigated AIs have modest efficacy on FEV<sub>1</sub>, but for patients washed out of ICS, these treatments achieve reductions in ER comparable to the new-generation LABD
- The outcomes from this analysis may be applied for designing Phase 3 efficacy studies, pharmaco-economic outcomes analyses[4,5], and assessing comparative effectiveness (CE).
- Using model-predicted FEV<sub>1</sub> allowed including studies without proper FEV<sub>1</sub> trough measurements, and to separate efficacy into LABD and AI components, for combination treatments.
- This approach also allowed separating covariate effects acting indirectly, via the FEV<sub>1</sub> biomarker (e.g. interaction between LABA and LAAC)[2], from those acting directly on the FEV<sub>1</sub>-ER translation (interaction between ICS and PDE4i), which enabled accounting for the full uncertainty (in FEV<sub>1</sub> and FEV<sub>1</sub>-ER models), e.g. for CE in different subpopulations and comeds.

## Methods

Data was extracted from 29 randomized trials (80 treatment arms), of 43 472 patients. As predictors of ER, model-predicted trough FEV<sub>1</sub>[2] at baseline and week 12, as well as covariates, were investigated using NONMEM. Placebo ER was a function of covariates and interstudy variability (ISV). The ER ratio (treatment vs. placebo) was described by separate functions for FEV<sub>1</sub> efficacy ( $\Delta\Delta FEV_{1i}$ ) for direct bronchodilators (long-acting; LABD) and anti-inflammatory (AI) agents.

The placebo ER (mean number of exacerbations per patient, per year) was a function of a) baseline FEV<sub>1</sub> percent of normal (%FEV<sub>1</sub>), b) percent of patients washed out from ICS (ICS<sub>washout</sub>) and c) requirement of patient history of exacerbation(s) (ER<sub>history</sub>), according to:

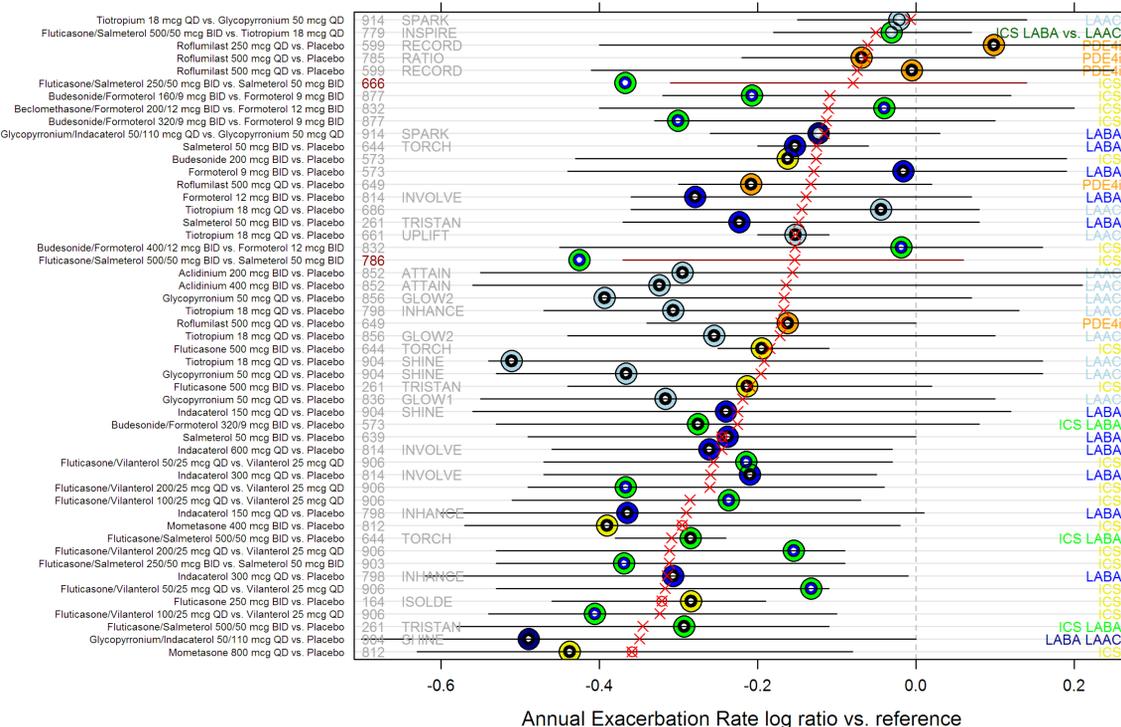
$$ER_{plac} = \begin{cases} ER_{plac} \cdot e^{(\%FEV_{1ij}-41) \cdot E_{\%FEV_1} + (\%ICS_{washoutij}-54) \cdot E_{ICS_{washout}}} & \text{if } ER_{histi} = 1 \\ ER_{plac} \cdot e^{(\%FEV_{1ij}-49) \cdot E_{\%FEV_1} + (\%ICS_{washoutij}-44) \cdot E_{ICS_{washout}}} \cdot (1 + E_{ERhist}) & \text{if } ER_{histi} \neq 1 \end{cases}$$

The ER ratio (relative to placebo) was a function of FEV<sub>1</sub>-efficacy contributions (difference from placebo) from LABD ( $\Delta\Delta FEV_{1LABD}$ ) and AI ( $\Delta\Delta FEV_{1AI}$ ), the latter in interaction with the percent ICS-experienced patients (%ICS<sub>exp</sub>), according to:

$$RAT_{ij} = e^{\Delta\Delta FEV_{1LABDij} \cdot Slope_{LABD} + \Delta\Delta FEV_{1AIij} \cdot Slope_{AI} \cdot (1 - \frac{\%ICS_{expij}}{100} \cdot \frac{\Delta\Delta FEV_{1AIij} \cdot E_{maxAIexp}}{\Delta\Delta FEV_{1AIij} + E_{FEV_{150AIexp}}})}$$

Outcomes were derived as point estimate [95% confidence interval (CI)] versus the reference arm.

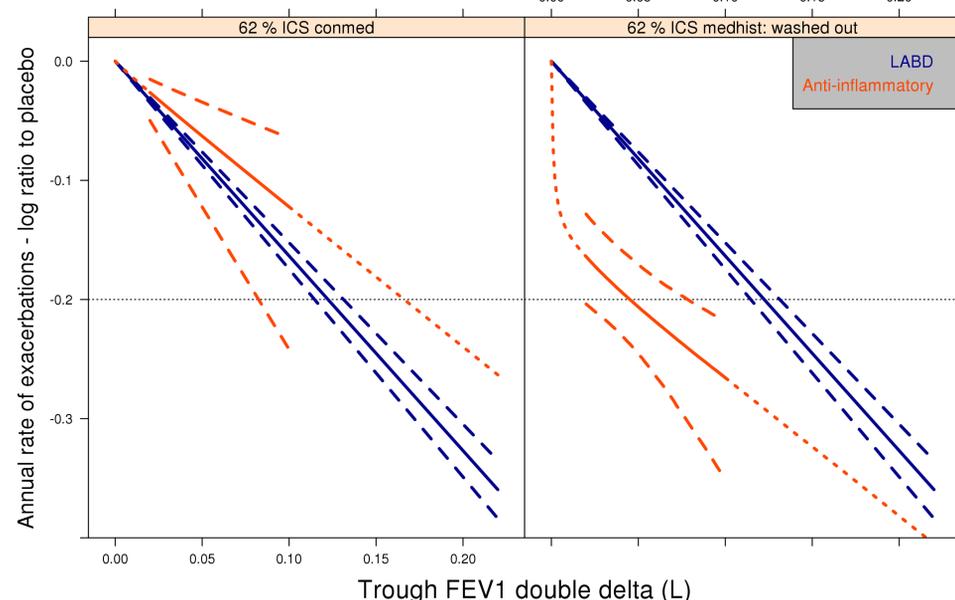
## Results



**Figure 1.** Simulated and observed log ER ratios for all main contrasts in the analysis. The prediction based on simulation is marked with x (surrounded by circle in case based on an unweighted mean[3]), and with a horizontal black line representing the 95% CI. The filled circle represents the observed mean, with colors based on whether the treatment class in active (outer ring) and reference (inner ring) was **Placebo**, **LABA**, **LAAC**, **ICS**, **PDE4i**, **LABA/LAAC** or **ICS/LABA**. Two out of 51 observed main contrasts were outside the simulated 95% CI (highlighted in red), indicating an appropriate coverage probability.

**Table 1.** Parameter estimates relevant for appropriately weighted means[3]

| Name                    | Description  | Point Estimate | RSE (%) |
|-------------------------|--|----------------|---------|
| ER <sub>plac</sub>      | Mean annual rate for the typical trial with requirement of exacerbation history              | 1.38           | 4       |
| E <sub>ERhist</sub>     | Change in ER for the typical trial without requirement of exacerbation history               | -0.322         | 13      |
| E <sub>%FEV1</sub>      | Change in ER for each percentage point change in %FEV <sub>1</sub>                           | -0.0264        | 34      |
| E <sub>ICSwashout</sub> | Change in ER for each percentage point change in %ICS <sub>washout</sub>                     | 0.00286        | 21      |
| Slope <sub>LABD</sub>   | $\Delta\Delta FEV_{1}$ slope for LABD (L <sup>-1</sup> )                                     | -1.63          | 3       |
| Slope <sub>AI</sub>     | $\Delta\Delta FEV_{1}$ slope for AI (L <sup>-1</sup> )                                       | -1.17          | 36      |
| E <sub>maxAIexp</sub>   | Maximal reduction for AI in ICS experienced patients   | 0.22           | 17      |
| E <sub>FEV1,50</sub>    | $\Delta\Delta FEV_{1AI}$ that achieves half of the E <sub>max</sub> -driven ER reduction (L) | 0.001          | -       |
| $\sigma$                | Residual error for 500-subject arm & 1-year study duration (CV)                              | 0.0702         | 10      |
| $\omega$                | ISV in exacerbation rate (CV)  | 0.169          | 23      |



**Figure 2.** Model predicted log ER ratio versus trough  $\Delta\Delta FEV_{1}$  for the two different types of treatment, and given two different scenarios; In both scenarios a more severe population is considered, but left-hand panel represents a study design where ICS experienced patients remain on ICS background whereas in the right-hand panel they are required to wash out from ICS prior to randomization. The solid line represents the median, and the broken lines the 95% CI, based on the uncertainty in population parameters. For AI treatment, the solid line has been extended with a dotted line, to indicate extrapolation outside the available data range. For  $\log(ER\text{-ratio}) < -0.2$  (>18% reduction in ER), LABDs must achieve at least a  $\Delta\Delta FEV_{1}$  of 122 mL [114mL–132mL]. For the scenario with 62% ICS washout, an AI treatment (ICS/PDE4i) must achieve at least a  $\Delta\Delta FEV_{1}$  of 45 mL [17mL–79mL], to reach  $\log(ER\text{-ratio}) < -0.2$ .

## References

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